# INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/HO/CFD/DCR2/P/CIR/2021/0661 DATED NOVEMBER 23, 2021

#### A. CATEGORY-WISE INVESTORS COMPLAINTS DATA:

I. Initial Public Offers (IPOs) and Further Public Offers (FPOs) including Offer for Sale (OFS): Main Board

Data for the month ending 30<sup>th</sup> June, 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2	SEBI (SCORES)	Nil	Nil	Nil	Nil		Not Applicable
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil		Not Applicable
4	Other Sources (if any)	Nil	Nil	Nil	Nil		Not Applicable
	Grand Total	-	-	-	-	-	-

## Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	@	@	@
	August, 2022	@	@	@	@
3	September, 2022	@	@	@	@
	October,2022	@	@	@	@
5	November, 2022	@	@	@	@
	Grand Total	-	-	-	-

# <u>Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-</u>

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil	@	@	@
2	2023	@	@	@	@
3	2024	@	@	@	@
4	2025	@	@	@	@
5	2026	@	@	@	@
	<b>Grand Total</b>	-	-	_	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### II. Initial Public Offers (IPOs) and Further Public Offers (FPOs) including Offer for Sale (OFS): SME

Data for the month ending 30<sup>th</sup> June, 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	-	-	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

<sup>@</sup> The relevant period has not been completed.

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	@	@	@
2	August, 2022	@	@	@	@
3	September, 2022	@	@	@	@
4	October,2022	@	@	@	@
5	November, 2022	@	@	@	@
	Grand Total	-	-	-	-

# <u>Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-</u>

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil	@	@	@
2	2023	@	@	@	@
3	2024	@	@	@	@
4	2025	@	@	@	@
5	2026	@	@	@	@
	Grand Total	-	-	_	_

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### III. Rights Issue

Data for the month ending 30<sup>th</sup> June, 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

<sup>@</sup> The relevant period has not been completed.

3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil		Not Applicable
4	Other Sources (if any)	Nil	Nil	Nil	Nil		Not Applicable
	Grand Total	-	-	-	-	-	-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	@	@	@
2	August, 2022	@	@	@	@
3	September, 2022	@	@	@	@
	, -	@	@	@	@
5	November, 2022	@	@	@	@
	Grand Total	-	_	-	-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil	@	@	@
2	2023	@	@	@	@
3	2024	@	@	@	@
4	2025	@	@	@	@
5	2026	@	@	@	@
	Grand Total	-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

<sup>@</sup> The relevant period has not been completed.

### IV. Qualified Institutional Placement (QIB)

Data for the month ending 30th June, 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	-	-	-	-	-	-

## Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	@	@	@
2	August, 2022	@	@	@	@
3	September, 2022	@	@	@	@
4	October,2022	@	@	@	@
5	November, 2022	@	@	@	@
	Grand Total	-	-	_	-

# <u>Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-</u>

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil	@	@	@
2	2023	@	@	@	@
3	2024	@	@	@	@
4	2025	@	@	@	@
5	2026	@	@	@	@
	Grand Total	-	-	-	-

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### V. Preferential Issue

Data for the month ending 30th June, 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	-	-	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

<sup>@</sup> The relevant period has not been completed.

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	@	@	@
2	August, 2022	@	@	@	@
3	September, 2022	@	@	@	@
4	October,2022	@	@	@	@
5	November, 2022	@	@	@	@
	Grand Total	-	-	-	-

# <u>Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-</u>

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil	@	@	@
2	2023	@	@	@	@
3	2024	@	@	@	@
4	2025	@	@	@	@
5	2026	@	@	@	@
	Grand Total	-	-	_	_

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### VI. Buyback of Securities

Data for the month ending 30<sup>th</sup> June, 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil		Not Applicable
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

<sup>@</sup> The relevant period has not been completed.

3	Exchanges	Nil	Nil	Nil	Nil		Not Applicable
	(if relevant)						
4	Other	Nil	Nil	Nil	Nil	Nil	Not
	Sources (if any)						Applicable
	Grand	-	-	-	-	-	-
	Total						

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	@	@	@
2	August, 2022	@	@	@	@
3	September, 2022	@	@	@	@
4	October,2022	@	@	@	@
5	November, 2022	@	@	@	@
	Grand Total	-	-	-	-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil	@	@	@
2	2023	@	@	@	@
3	2024	@	@	@	@
4	2025	@	@	@	@
5	2026	@	@	@	@
	Grand Total	-	-	-	-

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

<sup>@</sup> The relevant period has not been completed.

### VII. Delisting of Equity Shares

Data for the month ending 30th June, 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	_	-	-	_	_	-

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	@	@	@
2	August, 2022	@	@	@	@
3	September, 2022	@	@	@	@
4	October,2022	@	@	@	@
5	November, 2022	@	@	@	@
	Grand Total	-	-	-	-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil	@	@	@
2	2023	@	@	@	@
3	2024	@	@	@	@

4	2025	@	@	@	@
5	2026	@	@	@	@
	Grand Total	-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### VIII. Substantial Acquisitions of Shares and Takeovers

Data for the month ending 30<sup>th</sup> June, 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	_	-	-	_	_	_

### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	@	@	@
2	August, 2022	@	@	@	@
3	September, 2022	@	@	@	@
4	October,2022	@	@	@	@
5	November, 2022	@	@	@	@
	Grand Total	-	-	-	-

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. .

<sup>#</sup>Inclusive of complaints pending as on the last day of the month.

<sup>@</sup> The relevant period has not been completed.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil	@	@	@
2	2023	@	@	@	@
3	2024	@	@	@	@
4	2025	@	@	@	@
5	2026	@	@	@	@
	<b>Grand Total</b>	-	-	-	-

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

<sup>@</sup> The relevant period has not been completed.